From-2026327086

2026327088

T-815 P 002/005 F-923

National Indian Gaming Commission

SETTLEMENT AGREEMENT

INTRODUCTION

This Settlement Agreement ("Agreement") is entered into by and between Wanda Balderama, Chairperson of the Hopland Band of Pomo Indians, a federally-recognized Indian tribe ("Tribe") and Philip N. Hogen, the Chairman of the National Indian Gaming Commission ("Chairman") relating to the matters contained in Notice of Violation No. NOV-05-03.

RECITALS

Whereas, the Tribe has conducted gaming on Indian lands at a facility named Hopland Sho-ka-wah Casino in Hopland. California since approximately August, 1996;

Whereas, the Chairman and the Tribe desire to resolve issues related to Notice of Violation No. NOV-05-03.

Therefore, the Chairman and the Tribe have agreed to execute this Agreement and perform in accordance with the following covenants and conditions:

TERMS OF SETTLEMENT

This Agreement is entered into pursuant to 25 C.F.R. § 575.6 (b) and shall be 1. effective upon the signature of all parties.

05-02-05

11:03

From-2026327066

2026327068

T-816 P 003/005 F-923

- 2. On February 17, 2005, the NIGC issued Notice of Violation No. NOV-05-03 to the Tribe relating to the Hopland Sho-ka-wah Casino's submission of the quarterly statement and fee payment to the NIGC for the quarter ending December 31, 2004.
- 3. Rather than contest the issues of the timeliness of the submission of the statement and fee, the Tribe agrees to the terms and conditions set forth in this Settlement Agreement.
- The Tribe agrees to pay a fine in the amount of <u>One Thousand dollars</u> (\$1,000).

 Eight Hundred dollars (\$800) of the fine will be paid on or before June 30, 2005.

 The remaining Two Hundred dollars (\$200) will be suspended pending the timely submission of the quarterly statement and fee payment for the quarter ending June 30, 2005. Upon timely submission of the quarterly statement and fee payment for the Hopland Sho-ka-wah Casino for the quarter ending June 30, 2005, the Chairman will forgive the suspended Two Hundred dollar (\$200) fine. If the Tribe fails to submit the Hopland Sho-ka-wah Casino quarterly statement and fee payment by June 30, 2005, the remaining Two Hundred dollars (\$200) of the fine will be paid on or before August 30, 2005.
- 5. The Chairman agrees upon execution of this Agreement to waive the right to impose any further civil fine for Notice of Violation No. NOV-05-03 against the Tribe, unless the Tribe fails to comply with this Agreement. If the Tribe fails to comply with this Agreement, the Chairman reserves the right to initiate an enforcement action against the Hopland Sho-ka-wah Casino as outlined under 25 C.F.R. § 573.6.

2

Fram-2026327066

2028327086

T-815 P.004/005 F-923

- 6. The Tribe agrees upon execution of this Agreement to waive its right, if any, to further review of Notice of Violation No. NOV-05-03, including all rights to appeal to the full Commission as outlined in 25 C.F.R. § 577 et seq. and judicial review pursuant to 25 U.S.C. § 2714.
- 7. Subsequent to the parties' acceptance of this Agreement, the civil fine assessment set forth herein will become a Final Assessment Order of the Commission.

ADDITIONAL COVENANTS

- This Agreement constitutes the entire agreement between the Chairman and the Tribe and supersedes all prior verbal or written agreements and understandings between the parties related to the subject matter hereof. No warranties, representations, covenants, or agreements shall be binding upon any party except as set forth herein. Any modification or waiver of any term of this Agreement, including the modification or waiver of term, must be in writing and signed by both parties.
- 2. The Tribe stipulates that the Notice of Violation shall be deemed a final order of the Commission and a final agency action pursuant to 25 C.F.R. § 577.9(d).
- 3. The Chairman and the Tribe expressly agree and acknowledge that time is of the essence in this Agreement. The recitals herein and above set shall be binding upon the parties, their agents, heirs, personal representatives, successors and assigns.

Page 005

10-2026327066

From-707 A&2 A235

Received 05-10-05 15:53

05-02-05

11:03

Fram-2026327066

2026327066

T-815 P.005/005 F-923

A signature produced by facsimile shall be deemed to be an original signature and 4. shall be effective and binding for purpose of the Agreement.

Hopland Band of Pomo Indians

National Indian Gaming Commission

Wanda Bolderama

Chairperson

5-9-05

By: 2/2 Rhilip N. Hogen

Chairman